



### 3. ADDITIONAL FEES

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)    \$ 770.00**

**\*Reduced by Basic Filing Fee Paid**

**SUBMITTED BY:**

**Typed or Printed Name:** Tom Van Zandt

Signature: Bonnie Galt Date: 5/19/04

**Req. Number:** 43,219 **Telephone Number:** (408) 720-8300

**Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**



S-21-04

RCE  
1763  
EPW**REQUEST FOR CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Address to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Application No. 09/823,528  
Filing Date March 30, 2001  
First Named Inventor Han-Ming Wu  
Art Unit 7163  
Examiner Name Ram N. Kackar  
Attorney Docket No. 042390.P10627

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See instruction sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. § 1.114** – Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s) 05/24/2004 EFLORES 00000024 09823528

iii. ☐ Information Disclosure Statement (IDS) 01 FC:1801

iv. ☐ Other 770.00 OP

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-2666

i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)

ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Processing fee under 37 CFR § 1.17(i) for Limited Suspension of Action

iv. ☐ Other \_\_\_\_\_

b. ☒ Check in the amount of \$ 770.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type) Tom Van Zandt Registration No. (Attorney/Agent) 43,219

Signature [Signature] Date 5/19/04

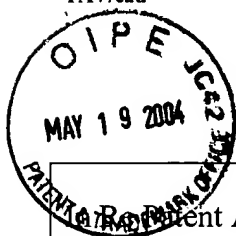
**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

Name (Print/Type) \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Express Mail No. (only if applicable): EV 409363856 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| <p>Re Patent Application of:</p> <p>Han-Ming Wu, et al.</p> <p>Application No.: 09/823,528</p> <p>Filed: March 30, 2001</p> <p>For: SHIELDING PLATE IN<br/>PLASMA FOR UNIFORMITY<br/>IMPROVEMENT</p> | <p>Examiner: Ram N. Kackar</p> <p>Art Unit: 7163</p> <p>Confirmation No.: 7457</p> |
|--|--|

MAIL STOP RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

In response to the Final Office Action mailed February 19, 2004, applicants respectfully request that the following amendment and remarks be considered. A Request for Continued Examination is filed herewith.

Express Mail No. EV 409363856 US

Date of Deposit: May 19, 2004

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Box RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22414-1450.

Christine Donahue

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

5/19/04  
(Date signed)